#### EXPRESS MAIL LABEL NO. EL813857669US



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		}
	Peter M. Allred, Neil T. Jessop and	
	Dan E. Fischer, D.D.S.	) l
Serial No.	Not Yet Assigned	) Art Unit ) Not Yet Assigned
Filed:	March 13, 2002	)
For:	DENTAL BONDING COMPOSITIONS FOR ADHERING AMALGAM RESTORATIVES TO DENTAL SUBSTRATES	) ) )
Examiner:	Not Yet Assigned	)

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

This Information Disclosure Statement is being filed concurrently with the patent application, therefore no fee is due. Please credit any overpayment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned. Duplicate copies of this sheet are attached.

Dated this 13 day of March 2002.

PATENT TRADEMARK OFFICE

Respectfully submitted,

John M. Guynn

Attorney for Applicants Registration No. 36,153

JMG: cm

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Applicant:

Allred et al.

Serial No. Filing Date:

Not Yet Assigned March 13, 2002

Att'y Docket No. 7678.614 Group: Not Yet Assigned

For:

DENTAL BONDING COMPOSITIONS FOR ADHERING AMALGAM

RESTORATIVES TO DENTAL SUBSTRATES

## INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

### U.S. Patent Documents

Examiner Initial*	Document Number	Issue <u>Date</u>	Name
1	Re. 33,100	10/24/89	Ibsen et al.
2	4,064,629	12/27/77	Stoner et al.
3	4,155,890	05/22/79	Hofaker-Freifrau
4	4,225,476	09/30/80	Hammer et al.
5	4,247,575	01/27/81	O'Connell et al.
6	4,362,510	12/07/82	Brauer et al.
7	4,362,842	12/07/82	Masuhara et al.
8	4,486,179	12/04/84	Brauer et al.
9	4,514,342	04/30/85	Billington et al.
10	4,542,168	09/17/85	Chang et al.
11	4,657,941	04/14/87	Blackwell et al.
12	4,711,913	12/08/87	Tateosian et al.
13	4,719,149	01/12/88	Aasen et al.
14	4,738,722	04/19/88	Ibsen et al.
15	4,759,798	07/26/88	von Nostiz

Examiner:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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16	4,806,381	02/21/89	Engelbrecht et al.
17	4,830,616	05/16/89	Okuda et al.
18	4,880,660	11/14/89	Aasen et al.
19	4,950,697	08/21/90	Chang et al.
20	4,966,934	10/1990	Huang et al.
21	4,983,644	01/08/91	Mukai et al.
22	4,986,754	01/22/91	Chang et al.
23	5,147,903	09/15/92	Podszun et al.
24	5,154,762	10/13/92	Mitra et al.
25	5,158,825	10/27/92	Altwirth
26	5,178,870	01/12/93	Schaeken et al.
27	5,192,802	03/09/93	Rencher
28	5,252,121	10/12/93	Arnold
29	5,252,629	10/12/93	Imai et al.
30	5,256,447	10/26/93	Oxman et al.
31	5,264,485	11/23/93	Müller
32	5,264,513	11/23/93	Ikemura et al.
33	5,273,574	12/28/93	Arnold
34	5,276,068	01/04/94	Waknine
35	5,306,338	04/26/94	Tsunekawa

Examiner:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Group: Not Yet Assigned

DENTAL BONDING COMPOSITIONS FOR ADHERING AMALGAM RESTORATIVES TO DENTAL SUBSTRATES

	TESTORA	TIVES TO DENTAL SUBSTRATES	
36	5,382,284	01/17/95	Arnold
37	5,427,613	06/27/95	Arnold
38	5,534,562	07/09/96	Jensen et al.
39	5,554,030	09/10/96	Ario et al.
40	5,595,487	01/21/97	Ario et al.
41	5,662,886	09/02/97	Oxman et al.
42	5,708,052	01/13/98	Fischer et al.
43	5,844,018	12/01/98	Jacobs et al.
44	5,847,020	12/08/98	Ibsen et al.
45	5,849,813	12/15/98	Oxman
46	5,866,629	02/02/99	Santerre et al.
47	5,866,630	02/02/99	Mitra et al.
48	5,876,208	03/02/99	Mitra et al.
49	5,922,786	07/13/99	Mitra et al.
50	5,973,022	10/26/99	Lu et al.
51	5,975,906	11/02/99	Knutson
52	5,997,302	12/07/99	Alpert
53	6,004,390	12/21/99	Pflug et al.
54	6,127,450	10/03/00	Angeletakis

Examiner:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Group: Not Yet Assigned

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For:

DENTAL BONDING COMPOSITIONS FOR ADHERING AMALGAM

RESTORATIVES TO DENTAL SUBSTRATES

55	6,270,348	08/07/01	Petersen
56	6,274,644	08/14/01	Pelerin

### Foreign Patent Documents

Examiner <u>Initial</u> *	Document Number	Publication  Date	Country or Patent Office
57	WO 96/31559	10.10.96	PCT
58	0 234 934 A2	02.09.87	EPO

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DENTAL BONDING COMPOSITIONS FOR ADHERING AMALGAM

RESTORATIVES TO DENTAL SUBSTRATES

## References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office

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Examiner:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.